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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher P. Carson )  
Serial No.: 10/084,291 )  
Filed: February 27, 2002 ) Group Art Unit: 3764  
For: SURGICAL NAVIGATION SYSTEMS )  
AND PROCESSES FOR HIGH )  
TIBIAL OSTEOTOMY )

TRANSMITTAL

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Enclosed for filing in the above-referenced application is Applicant's Response to the "Request for Substitute Papers" mailed from the U.S. Patent and Trademark Office on July 12, 2002. Specifically, Applicant encloses copies of the following documents mailed to the U.S. Patent Office on May 24, 2002:

- (1) Transmittal Form; Fee Transmittal; Declaration for Patent Application; Formalities Letter – Notice to File Missing Parts of Nonprovisional Application; 22 sheets of formal drawings; and
- (2) Recordation Form Cover Sheet; Assignment.

Dated: July 25, 2002

Respectfully submitted,

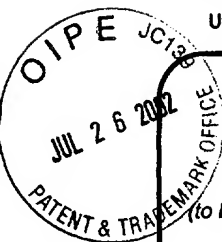
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Attorney Docket No. 50642/270979

VIA HAND DELIVERY

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00) \$3  
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/084,291
Filing Date	February 27, 2002
First Named Inventor	Carson
Group Art Unit	3764
Examiner Name	
Attorney Docket Number	50642/270979

COPY OF PAPERS  
ORIGINALLY FILED  
06/03/02

Total Number of Pages in This Submission

### ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☒ Drawing(s) (22)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Declaration for Patent Application

Notice to File Missing Parts of Nonprovisional Application

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

James L. Ewing, IV, Reg. No. 30,630

Signature

Date

May 24, 2002



30559

PATENT TRADEMARK OFFICE

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts Commissioner for Patents, Washington, D.C. 20231 on this date: May 24, 2002

Typed or printed name

Angela M. Rossi

Signature

*Angela M. Rossi*

Date

May 24, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/084,291	02/27/2002	Christopher P. Carson	50642/270979

30559  
CHIEF PATENT COUNSEL  
SMITH & NEPHEW, INC.  
1450 BROOKS ROAD  
MEMPHIS, TN 38116

CONFIRMATION NO. 6664

## FORMALITIES LETTER



\*OC00000007803730\*

Date Mailed: 04/05/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1));
  - Numbers, letters, and reference characters must measure at least 0.32 cm (1/8 inch) in height.

*A copy of this notice **MUST** be returned with the reply.*

2003-07-01 10:08:42

*M. Jones*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10084291-0712502